

End of Chapter 10

Bill A 2020-04-10 ①

You know that representing the orientation in space of a rigid body requires us to specify 3 numbers — 3 rotational degrees of freedom.

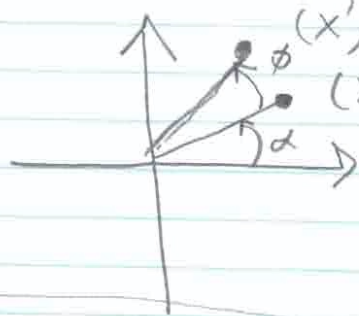
One way to do this is to write out the (x, y, z) coordinates of $\hat{e}_1$, of $\hat{e}_2$, and of $\hat{e}_3$. But it turns out, as we'll see, that if you're given the three EULER ANGLES, ϕ , θ , ψ , then you can use these 3 angles to compute the (x, y, z) coordinates of the 3 body axes.

So the Euler angles ~~give you the spatial coordinates~~ map the body axes 1, 2, 3 into x, y, z spaces

Suppose we start with a vector

$$(x, y) = (R \cos \alpha, R \sin \alpha)$$

and we want to rotate it (about the origin) by an angle ϕ . How do we write the new coordinates (x', y') as linear combinations of the old coordinates (x, y) ?



$$(x', y') = R(\cos(\alpha + \phi), \sin(\alpha + \phi))$$

$$(x, y) = (R \cos \alpha, R \sin \alpha)$$

$$x' = R \cos \alpha \cos \phi - R \sin \alpha \sin \phi$$
$$x' = x \cos \phi - y \sin \phi$$

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$$y' = R \sin \alpha \cos \phi + R \cos \alpha \sin \phi$$
$$y' = y \cos \phi + x \sin \phi$$

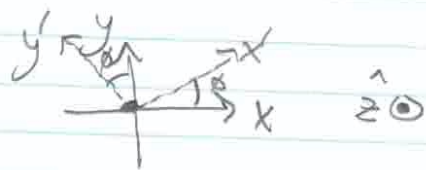
check:

(2)

writing that as a 3×3 matrix, for 3D space,

- rotate by angle ϕ about $\hat{z}$:

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

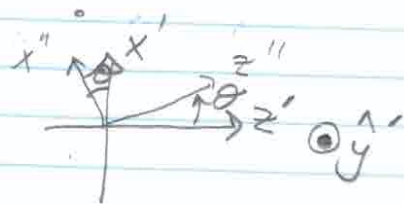


$$x' = x \cos\phi - y \sin\phi$$

$$y' = x \sin\phi + y \cos\phi \quad z' = z$$

- Next, rotate by angle θ about $\hat{y}'$:

$$\begin{pmatrix} x'' \\ y'' \\ z'' \end{pmatrix} = \begin{pmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{pmatrix} \begin{pmatrix} x' \\ y' \\ z' \end{pmatrix}$$



$$x'' = x' \cos\theta + z' \sin\theta \quad y'' = y' \quad z'' = -x' \sin\theta + z' \cos\theta$$

check/

- Mnemonic: for infinitesimal rotation angle $\epsilon \ll 1$,

$$\vec{r} \rightarrow \vec{r} + \epsilon \hat{\omega} \times \vec{r}$$

So for rotation about $\hat{y}$

$$\vec{r} \rightarrow \vec{r} + \epsilon \hat{y} \times \vec{r}$$

$$(1, 0, 0) \rightarrow (1, 0, 0) + \epsilon (0, 1, 0) \times (1, 0, 0) = (1, 0, -\epsilon)$$

$$\hat{x} \rightarrow \hat{x} + \epsilon \hat{y} \times \hat{x} = \hat{x} - \epsilon \hat{z}$$

$$\begin{aligned} (x'', y'', z'') &= (x', y', z') + \epsilon \hat{y} \times (x' \hat{x} + y' \hat{y} + z' \hat{z}) \\ &= (x', y', z') + \epsilon \hat{y} \times (x' \hat{x} + y' \hat{y} + z' \hat{z}) \\ &= (x', y', z') + \epsilon (-x' \hat{z} + z' \hat{x}) \\ &= (x' + \epsilon z', y', z' - \epsilon x') \end{aligned}$$

The hardest part of writing down 3×3 rotation matrices is remembering where to put the minus sign. (3)

$$\begin{matrix} \cos & -\sin & 0 \\ \sin & \cos & 0 \\ 0 & 0 & 1 \end{matrix}$$

Once you've worked out one case correctly (e.g. from a diagram), here's a trick (thanks to 2015 student Adam Zachar) for working out the other two.

about $\hat{z}$

$\cos$	$-\sin$	0
$\sin$	$\cos$	0
0	0	1

about $\hat{y}$

$\cos$	0	$\sin$
0	1	0
$-\sin$	0	$\cos$

about $\hat{x}$

$\cos$	$\sin$	0
$-\sin$	$\cos$	0
0	0	1

Diagram illustrating the construction of 3D rotation matrices. The first matrix is for rotation about the $\hat{z}$ axis. The second matrix is for rotation about the $\hat{y}$ axis, with a curved arrow indicating the relationship between the $\sin$ and $-\sin$ terms. The third matrix is for rotation about the $\hat{x}$ axis.

You can double-check these results using Mathematica:

~~`RotationMatrix[ $\phi$ , {0, 0, 1}] // MatrixForm`~~

`MatrixForm[RotationMatrix[ $\phi$ , {0, 0, 1}]]`

`MatrixForm[RotationMatrix[ $\theta$ , {0, 1, 0}]]`

`MatrixForm[RotationMatrix[ $\alpha$ , {1, 0, 0}]]`

(This 3rd one is not an Euler angle)

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Euler angles: can move (x, y, z) axes to arbitrary orientation.

Rotate by ϕ about $\hat{z}$

Then rotate by θ about $\hat{y}'$ ($\hat{e}_2'$)

Then rotate by ψ about $\hat{z}''$ ($\hat{e}_3''$)

$$\begin{pmatrix} x''' \\ y''' \\ z''' \end{pmatrix} = \begin{pmatrix} \cos\psi & -\sin\psi & 0 \\ \sin\psi & \cos\psi & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{pmatrix} \begin{pmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

$$\begin{pmatrix} x''' \\ y''' \\ z''' \end{pmatrix} = \begin{pmatrix} \cos\theta \cos\phi \cos\psi - \sin\phi \sin\psi & -\cos\theta \sin\phi \cos\psi - \cos\phi \sin\psi & \sin\theta \cos\phi \\ \cos\theta \cos\phi \sin\psi + \sin\phi \cos\psi & -\cos\theta \sin\phi \sin\psi + \cos\phi \cos\psi & \sin\theta \sin\phi \\ -\sin\theta \cos\phi & \sin\theta \sin\phi & \cos\theta \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Rotation Matrix $[\phi]$ // Matrix Form

Rotation Matrix $[\theta, \{0, 0, 1\}]$ // Matrix Form

Rotation Matrix $[\psi, \{0, 1, 0\}]$ // Matrix Form

$r_1 = \text{Rotation Matrix}[\phi, \{0, 0, 1\}];$

$r_2 = \text{Rotation Matrix}[\theta, \{0, 1, 0\}];$

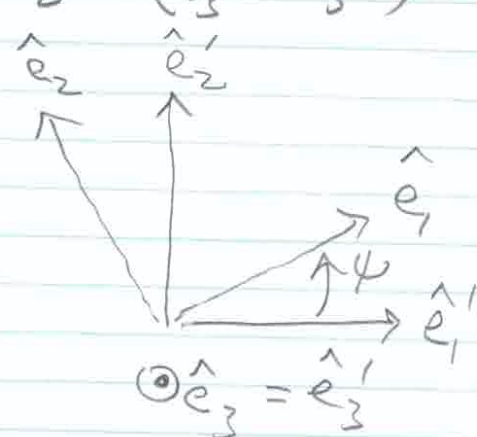
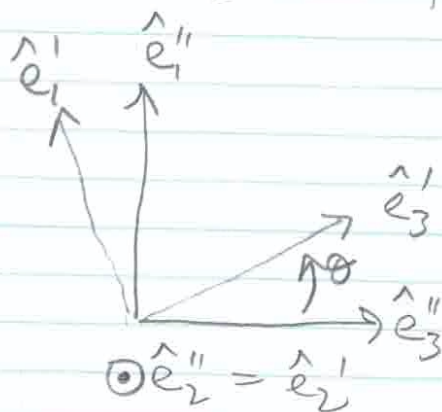
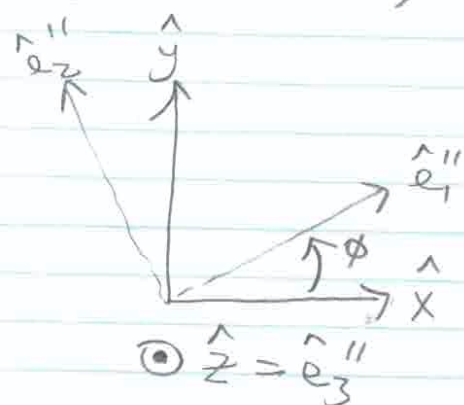
$r_3 = \text{Rotation Matrix}[\psi, \{0, 0, 1\}];$

$r_3 \cdot r_2 \cdot r_1$ // Matrix Form

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- Let the Euler angles ϕ, θ, ψ vary with time, as the body rotates.
- I will write out more steps than Taylor does, and I may confuse you by saying $(\hat{x}, \hat{y}, \hat{z}) \rightarrow (\hat{e}_1'', \hat{e}_2'', \hat{e}_3'') \rightarrow (\hat{e}_1', \hat{e}_2', \hat{e}_3') \rightarrow (\hat{e}_1, \hat{e}_2, \hat{e}_3)$.
- I do this so that my $\hat{e}_1', \hat{e}_2', \hat{e}_3'$ are the same as Taylor's.

- Rotate by ϕ about $\hat{z} \rightarrow \hat{e}_1'', \hat{e}_2''$ ($\hat{e}_3'' = \hat{z}$)
- Rotate by θ about $\hat{e}_2'' \rightarrow \hat{e}_1', \hat{e}_3'$ ($\hat{e}_2' = \hat{e}_2''$)
- Rotate by ψ about $\hat{e}_3' \rightarrow \hat{e}_1, \hat{e}_2$ ($\hat{e}_3 = \hat{e}_3'$)



$$\vec{\omega} = \dot{\phi} \hat{z} + \dot{\theta} \hat{e}_2'' + \dot{\psi} \hat{e}_3'$$

$$\vec{\omega} = \dot{\phi} \hat{z} + \dot{\theta} \hat{e}_2' + \dot{\psi} \hat{e}_3$$

Remarkable trick: We can write $\vec{\omega}$ as the vector sum of 3 separate angular-velocity vectors, about 3 successive axes.

Next: project $\vec{\omega}$ onto more convenient sets of unit vectors.

Looking at the 3  diagrams, we can read off (6)

orthogonal matrix:

$$\left. \begin{aligned} \hat{e}_1'' &= \hat{x} \cos \phi + \hat{y} \sin \phi \\ \hat{e}_2'' &= -\hat{x} \sin \phi + \hat{y} \cos \phi \\ \hat{e}_3'' &= \hat{z} \end{aligned} \right\} \begin{aligned} \hat{x} &= \hat{e}_1'' \cos \phi - \hat{e}_2'' \sin \phi \\ \hat{y} &= \hat{e}_1'' \sin \phi + \hat{e}_2'' \cos \phi \\ \hat{z} &= \hat{e}_3'' \end{aligned} \quad \begin{array}{l} \text{inverse} \\ = \text{transpose} \end{array}$$

$$\left. \begin{aligned} \hat{e}_1' &= \hat{e}_1'' \cos \theta - \hat{e}_3'' \sin \theta \\ \hat{e}_3' &= \hat{e}_1'' \sin \theta + \hat{e}_3'' \cos \theta \\ \hat{e}_2' &= \hat{e}_2'' \end{aligned} \right\} \begin{aligned} \hat{e}_1'' &= \hat{e}_1' \cos \theta + \hat{e}_3' \sin \theta \\ \hat{e}_3'' &= -\hat{e}_1' \sin \theta + \hat{e}_3' \cos \theta \\ \hat{e}_2'' &= \hat{e}_2' \end{aligned}$$

$$\left. \begin{aligned} \hat{e}_1 &= \hat{e}_1' \cos \psi + \hat{e}_2' \sin \psi \\ \hat{e}_2 &= -\hat{e}_1' \sin \psi + \hat{e}_2' \cos \psi \\ \hat{e}_3 &= \hat{e}_3' \end{aligned} \right\} \begin{aligned} \hat{e}_1' &= \hat{e}_1 \cos \psi - \hat{e}_2 \sin \psi \\ \hat{e}_2' &= \hat{e}_1 \sin \psi + \hat{e}_2 \cos \psi \\ \hat{e}_3' &= \hat{e}_3 \end{aligned}$$

Let's first find $\vec{\omega}$ in space axes:

$$\begin{aligned} \vec{\omega} &= \dot{\phi} \hat{z} + \dot{\theta} \hat{e}_2'' + \dot{\psi} \hat{e}_3' \\ &= \dot{\phi} \hat{z} + \dot{\theta} (-\hat{x} \sin \phi + \hat{y} \cos \phi) + \dot{\psi} (\hat{e}_1'' \sin \theta + \hat{e}_3'' \cos \theta) \\ &= \dot{\phi} \hat{z} - \dot{\theta} \hat{x} \sin \phi + \dot{\theta} \hat{y} \cos \phi + \dot{\psi} \sin \theta (\hat{x} \cos \phi + \hat{y} \sin \phi) + \dot{\psi} \cos \theta (\hat{z}) \end{aligned}$$

$$\omega_x = -\dot{\theta} \sin \phi + \dot{\psi} \sin \theta \cos \phi \quad \omega_y = \dot{\theta} \cos \phi + \dot{\psi} \sin \theta \sin \phi \quad \omega_z = \dot{\phi} + \dot{\psi} \cos \theta$$

$$\vec{\omega}_{\text{space axes}} = (-\dot{\theta} \sin \phi + \dot{\psi} \sin \theta \cos \phi, \dot{\theta} \cos \phi + \dot{\psi} \sin \theta \sin \phi, \dot{\phi} + \dot{\psi} \cos \theta)$$

Then let's find $\vec{\omega}$ in the body axes:

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$$\vec{\omega} = \dot{\phi} \hat{e}_3'' + \dot{\theta} \hat{e}_2' + \dot{\psi} \hat{e}_3 = \dot{\phi}(-\hat{e}_1' \sin\theta + \hat{e}_3' \cos\theta) + \dot{\theta}(\hat{e}_1' \sin\psi + \hat{e}_2' \cos\psi) + \dot{\psi} \hat{e}_3'$$

$$\vec{\omega} = -\dot{\phi} \sin\theta (\hat{e}_1' \cos\psi - \hat{e}_2' \sin\psi) + \dot{\phi} \cos\theta (\hat{e}_3') + \dot{\theta} \sin\psi \hat{e}_1' + \dot{\theta} \cos\psi \hat{e}_2' + \dot{\psi} \hat{e}_3'$$

$$\omega_1 = \dot{\theta} \sin\psi - \dot{\phi} \sin\theta \cos\psi \quad \omega_2 = \dot{\theta} \cos\psi + \dot{\phi} \sin\theta \sin\psi \quad \omega_3 = \dot{\psi} + \dot{\phi} \cos\theta$$

$$\vec{\omega}_{\text{body axes}} = (\dot{\theta} \sin\psi - \dot{\phi} \sin\theta \cos\psi, \dot{\theta} \cos\psi + \dot{\phi} \sin\theta \sin\psi, \dot{\psi} + \dot{\phi} \cos\theta)$$

For the symmetric top ($\lambda_1 = \lambda_2$), it will be most convenient to express $\vec{\omega}$ in the "primed" basis, i.e. before the final rotation about $\hat{e}_3$. This is the same as $\vec{\omega}_{\text{body}}$ when $\psi = 0$:

$$\vec{\omega} = (-\dot{\phi} \sin\theta) \hat{e}_1' + (\dot{\theta}) \hat{e}_2' + (\dot{\psi} + \dot{\phi} \cos\theta) \hat{e}_3'$$

The "primed" basis is most convenient for writing $\vec{L}$, T , &

$$\vec{L} = (-\lambda_1 \dot{\phi} \sin\theta) \hat{e}_1' + (\lambda_1 \dot{\theta}) \hat{e}_2' + \lambda_3 (\dot{\psi} + \dot{\phi} \cos\theta) \hat{e}_3'$$

$$T = \frac{1}{2} \lambda_1 (\dot{\phi}^2 \sin^2\theta + \dot{\theta}^2) + \frac{1}{2} \lambda_3 (\dot{\psi} + \dot{\phi} \cos\theta)^2$$

$$U = M g R \cos\theta$$

$$\mathcal{L} = \frac{1}{2} \lambda_1 (\dot{\phi}^2 \sin^2\theta + \dot{\theta}^2) + \frac{1}{2} \lambda_3 (\dot{\psi} + \dot{\phi} \cos\theta)^2 - M g R \cos\theta$$

2 ignorable coordinates: ϕ and $\psi \Rightarrow$ can reduce to 1-variable problem

$$\frac{\partial \mathcal{L}}{\partial \dot{\psi}} = \lambda_3 (\dot{\psi} + \dot{\phi} \cos\theta) \equiv P_\psi \equiv \text{constant} = \lambda_3 \omega_3 = L_3$$

$$\frac{\partial \mathcal{L}}{\partial \dot{\phi}} = \lambda_1 \dot{\phi} \sin^2\theta + \lambda_3 (\dot{\psi} + \dot{\phi} \cos\theta) \cos\theta \equiv P_\phi \equiv \text{constant}$$

$$= L_z - L_3 \cos\theta + L_3 \cos\theta = L_z$$

$$\text{So } \begin{cases} P_\psi \equiv L_3 \\ P_\phi \equiv L_z \end{cases}$$

$$\text{Note: } L_z = \vec{L} \cdot \hat{z} = \vec{L} \cdot (\hat{e}_3'') = -L_1' \sin\theta + L_3' \cos\theta = \lambda_1 \dot{\phi} \sin^2\theta + \lambda_3 (\dot{\psi} + \dot{\phi} \cos\theta) \cos\theta$$

$$L_z = \lambda_1 \dot{\phi} \sin^2\theta + L_3 \cos\theta$$

$$\Rightarrow \lambda_1 \dot{\phi} \sin^2\theta = L_z - L_3 \cos\theta$$

Find the θ EOM:

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$$\frac{\partial \mathcal{L}}{\partial \theta} = \frac{d}{dt} \left(\frac{\partial \mathcal{L}}{\partial \dot{\theta}} \right) \Rightarrow \lambda_1 \dot{\phi}^2 \sin \theta \cos \theta + \lambda_3 (\dot{\psi} + \dot{\phi} \cos \theta) (-\dot{\phi} \sin \theta) + MgR \sin \theta = \frac{d}{dt} (\lambda_1 \dot{\theta}) = \lambda_1 \ddot{\theta}$$

$$\lambda_1 \ddot{\theta} = \lambda_1 \dot{\phi}^2 \sin \theta \cos \theta - \lambda_3 (\dot{\psi} + \dot{\phi} \cos \theta) \dot{\phi} \sin \theta + MgR \sin \theta$$

$$\lambda_1 \ddot{\theta} = \lambda_1 \dot{\phi}^2 \sin \theta \cos \theta - \lambda_3 \omega_3 \dot{\phi} \sin \theta + MgR \sin \theta \quad \theta \text{ EOM}$$

First consider the case where $\theta = \text{constant}$ (precession without nutation)

recall $\lambda_1 \dot{\phi} \sin^2 \theta = L_z - L_3 \cos \theta$. If $\theta = \text{const.}$ then $\dot{\phi} = \text{const} \equiv \Omega$

$$\dot{\phi} = \frac{L_z - L_3 \cos \theta}{\lambda_1 \sin^2 \theta} = \text{constant if } \theta \text{ is constant.}$$

$$0 = \lambda_1 \Omega^2 \sin \theta \cos \theta - \lambda_3 \omega_3 \Omega \sin \theta + MgR \sin \theta$$

$$(\lambda_1 \cos \theta) \Omega^2 - (\lambda_3 \omega_3) \Omega + MgR = 0$$

$$\Omega = \frac{\lambda_3 \omega_3 \pm \sqrt{(\lambda_3 \omega_3)^2 - 4 \lambda_1 \cos \theta MgR}}{2 \lambda_1 \cos \theta}$$

$$\Omega = \frac{\lambda_3 \omega_3}{2 \lambda_1 \cos \theta} \left(1 \pm \sqrt{1 - \frac{4 \lambda_1 \cos \theta MgR}{(\lambda_3 \omega_3)^2}} \right)$$

has 2 real solutions if $(\lambda_3 \omega_3)^2 > 4 \lambda_1 MgR \cos \theta$
("if ω_3 is large enough")

math is simplest if $(\lambda_3 \omega_3)^2 \gg 4 \lambda_1 MgR \cos \theta$ (" ω_3 is very large")

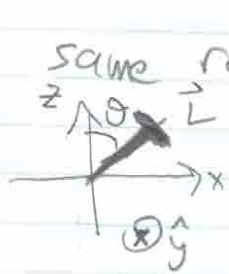
$$\text{Then } \Omega = \frac{\lambda_3 \omega_3}{2 \lambda_1 \cos \theta} \left(1 \pm \left(1 - \frac{2 \lambda_1 MgR \cos \theta}{(\lambda_3 \omega_3)^2} \right) \right)$$

$$\Omega_+ \simeq \frac{\lambda_3 \omega_3}{\lambda_1 \cos \theta} = \text{familiar } \Omega_{\text{space}} \text{ for zero-torque precession}$$

$$\Omega_- \simeq \frac{\lambda_3 \omega_3}{2\lambda_1 \cos\theta} \cdot \frac{2\lambda_1 M g R \cos\theta}{(\lambda_3 \omega_3)^2} = \boxed{\frac{M g R}{\lambda_3 \omega_3} \simeq \Omega_-}$$

(9)

This is same result as $\vec{\Gamma} = \frac{d\vec{L}}{dt} \Rightarrow M g R \sin\theta = \lambda_3 \omega_3 R \sin\theta$
 "freshman physics"
 for precessing top



$$(L \sin\theta) R \hat{y} = \frac{d\vec{L}}{dt} = (R)(M g) \sin\theta \hat{y}$$

$$\Omega = \frac{M g R}{L} = \frac{M g R}{\lambda_3 \omega_3} \quad \checkmark$$

Now look at 1-variable problem for non-constant θ :

$$E = T + U = \frac{1}{2} \lambda_1 \dot{\phi}^2 \sin^2\theta + \frac{1}{2} \lambda_1 \dot{\theta}^2 + \frac{1}{2} \lambda_3 (\underbrace{\dot{\psi} + \dot{\phi} \cos\theta}_{\omega_3})^2 + M g R \cos\theta$$

$$\lambda_1 \dot{\phi} \sin^2\theta = L_z - L_3 \cos\theta \Rightarrow \dot{\phi} = \frac{L_z - L_3 \cos\theta}{\lambda_1 \sin^2\theta}$$

$$E = \frac{1}{2} \lambda_1 \frac{(L_z - L_3 \cos\theta)^2 \sin^2\theta}{\lambda_1^2 \sin^2\theta \sin^2\theta} + \frac{1}{2} \lambda_1 \dot{\theta}^2 + \frac{1}{2} \lambda_3 \omega_3^2 + M g R \cos\theta$$

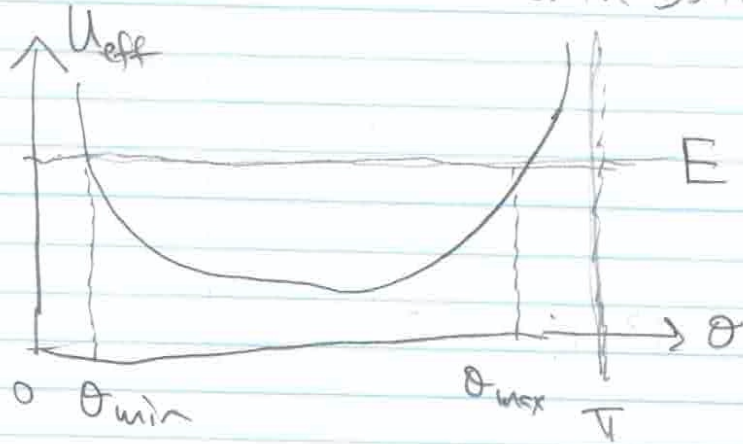
$$E = \frac{\lambda_1 \dot{\theta}^2}{2} + \boxed{\frac{(L_z - L_3 \cos\theta)^2}{2\lambda_1 \sin^2\theta} + \frac{L_3^2}{2\lambda_3} + M g R \cos\theta}$$

$U_{\text{eff}}(\theta)$

$$E = \frac{1}{2} \lambda_1 \dot{\theta}^2 + U_{\text{eff}}(\theta)$$

(one-dimensional problem)

θ "nutates" back & forth between $\theta_{\min}$ and $\theta_{\max}$



YouTube videos
(see very last slide)